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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small> | Attorney Docket No.                      | 202820US3      |
|  | First Inventor or Application Identifier | Tomoshige MEDA |
|  | Title                                    | MASK           |
| Assignee Name: Kao Corporation   |  |                |
| Assignee Address: 14-10, Nihonbashi, Kayabacho 1-chome, Tokyo 103-8210 JAPAN   |  |                |

|   |  |
|---|--|
| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents</i>   | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |
| <div>02/15/01<br/>30915 U.S. PTO</div> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</li><li><input checked="" type="checkbox"/> Specification <span style="float: right;">Total Sheets <b>47</b></span></li><li><input checked="" type="checkbox"/> Drawing(s) Formal <span style="float: right;">Total Sheets <b>7</b></span></li><li><input checked="" type="checkbox"/> Oath or Declaration <span style="float: right;">Total Pages <b>4</b></span><ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br/>(for continuation / divisional w/ box 16 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33(b).</small></li></ul></li></ul></li><li><input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li><li><input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. Specification or Sequence Listing on:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> Paper</li></ul></li><li>c. <input type="checkbox"/> Statements verifying identity of above copies</li></ul></li></ul> | <b>ACCOMPANYING APPLICATION PARTS</b> <ul style="list-style-type: none"><li>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li><li>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</li><li>9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/>(when there is an assignee)</li><li>10. <input type="checkbox"/> English Translation Document (if applicable)</li><li>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (3)</li><li>12. <input type="checkbox"/> Preliminary Amendment</li><li>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</li><li>14. <input type="checkbox"/> Certified Copy of Priority Document(s) ( )<br/>(if foreign priority is claimed)</li><li>15. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27</li><li>16. <input checked="" type="checkbox"/> Other: REQUEST FOR PRIORITY, STATEMENT OF RELEVANCY</li></ul> |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

|                                       |                                     |   |                           |
|---------------------------------------|-------------------------------------|---|---------------------------|
| <input type="checkbox"/> Continuation | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application no.: |
|---------------------------------------|-------------------------------------|---|---------------------------|

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

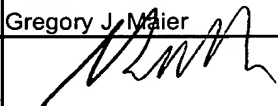
FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

|   |                                       |                                   |   |
|---|---------------------------------------|-----------------------------------|---|
| <input type="checkbox"/> This application is a  | <input type="checkbox"/> Continuation | <input type="checkbox"/> Division | <input type="checkbox"/> Continuation-in-part (CIP) |
| of application Serial No. _____   |                                       | Filed on _____                    |   |
| <input type="checkbox"/> Which was published in English   |                                       |                                   |   |
| <input type="checkbox"/> Which was not published in English   |                                       |                                   |   |
| <input type="checkbox"/> This application claims priority of provisional application Serial No. _____ |                                       |                                   | Filed _____   |

**19. CORRESPONDENCE ADDRESS**

  
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|            |   |                   |         |
|------------|---|-------------------|---------|
| Name:      | Gregory J. Maier  | Registration No.: | 25,599  |
| Signature: |  | Date:             | 2/15/01 |
| Name:      | Robert T. Pous  | Registration No.: | 29,099  |

Docket No. 202820US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Tomoshige UMEDA ET AL

SERIAL NO: New Application

FILING DATE: Herewith

FOR: MASK

JC841 U.S. PTO  
09/783548  
02/15/01

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR   | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|---|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS  | 23 - 20 =       | 3               | × \$18 =  | \$54.00      |
| INDEPENDENT CLAIMS  | 1 - 3 =         | 0               | × \$80 =  | \$0.00       |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) |                 |                 | + \$270 = | \$270.00     |
| <input type="checkbox"/> LATE FILING OF DECLARATION                           |                 |                 | + \$130 = | \$0.00       |
| BASIC FEE   |                 |                 |           | \$710.00     |
| TOTAL OF ABOVE CALCULATIONS   |                 |                 |           | \$1,034.00   |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY          |                 |                 |           | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE                       |                 |                 | + \$130 = | \$0.00       |
| <input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT                 |                 |                 | + \$40 =  | \$40.00      |
| TOTAL   |                 |                 |           | \$1,074.00   |

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

☒ A check in the amount of **\$1,074.00** to cover the filing fee is enclosed.

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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: 2/15/01

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